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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
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| 09/667,947 | 09/22/2000 | Stephen James Russell | 07039-298001 | 9619 |

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Mark S. Ellinger
FISH & RICHARDSON PC PA
60 South Sixth Street Suite 3300
Minneapolis, MN 55402

EXAMINER

CHEN, SHIN LIN

ART UNIT PAPER NUMBER

1633

DATE MAILED: 01/04/2002

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Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/667,947

Applicant(s)

RUSSELL ET AL.

Examiner

Shin-Lin Chen

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-26 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☐ Claim(s) ____ is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☒ Claim(s) 1-26 are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on ____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. ____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) ____.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). ____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

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1. Restriction to one of the following inventions is required under 35 U.S.C. 121:
 - I. Claims 1-4, 20 and 22-26, drawn to a method of monitoring a reduction in tumor size in a patient comprising administering to a patient a replication-competent Paramyxoviridae virus having a nucleic acid encoding a heterologous polypeptide that is biologically inactive or fused to an endogenous polypeptide, wherein the detection of said heterologous polypeptide is indicative of Paramyxoviridae virus growth and reduction in tumor size in said patient, and a kit for treatment of a patient having a tumor comprising said Paramyxoviridae virus, classified in class 435, subclasses 7.1 and 810.
 - II. Claims 5, 6 and 20-26, drawn to a method of increasing the fusogenicity on tumor cells of a Paramyxoviridae virus or reducing tumor size in a patient comprising contacting tumor cells with a replication-competent Paramyxoviridae virus comprising one or more of a recombinant F, H, or M protein of said Paramyxoviridae virus, and a kit for treatment of a patient having a tumor comprising said Paramyxoviridae virus, classifiable in classes 514 and 424, subclasses 2 and 93.2.
 - III. Claims 7, 8 and 20-26, drawn to a method of reducing tumor size in a patient comprising administering to a patient having a tumor a replication-competent Paramyxoviridae virus comprising a nucleic acid encoding a cytokine, and a kit

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for treatment of a patient having a tumor comprising said Paramyxoviridae virus, classifiable in classes 514 and 424, subclasses 44 and 93.2.

- IV. Claims 9-15 and 20-26, drawn to a method of reducing tumor size in a patient comprising administering to a patient having a tumor a Paramyxoviridae virus that is specific for cells of said tumor, wherein said Paramyxoviridae virus comprises a viral surface ligand, such as a single chain antibody, that specifically binds to a receptor on a tumor cell, or said ligand fused to a Paramyxoviridae virus surface protein, such as F or H protein, and said fusion protein binds to the receptor on a tumor cell, and a kit for treatment of a patient having a tumor comprising said Paramyxoviridae virus, classifiable in classes 514 and 424, subclasses 1 and 93.2, 134.1.
- V. Claims 16-19, drawn to a method of producing a recombinant Paramyxoviridae virus comprising transfecting a eukaryotic cell line with an infectious Paramyxoviridae viral genomic cDNA under the control of T7 promoter, screening for and isolating Paramyxoviridae virus lacking helper viral genetic material, and expanding said Paramyxoviridae virus, classified in class 435, subclass 239.

Claims 20 and 22-26 link(s) inventions I-IV. Claim 21 links inventions II-IV. The restriction requirement between the linked inventions is subject to the nonallowance of the linking claim(s), claims 20-26. Upon the allowance of the linking claim(s), the restriction

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requirement as to the linked inventions shall be withdrawn and any claim(s) depending from or otherwise including all the limitations of the allowable linking claim(s) will be entitled to examination in the instant application. Applicant(s) are advised that if any such claim(s) depending from or including all the limitations of the allowable linking claim(s) is/are presented in a continuation or divisional application, the claims of the continuation or divisional application may be subject to provisional statutory and/or nonstatutory double patenting rejections over the claims of the instant application. Where a restriction requirement is withdrawn, the provisions of 35 U.S.C. 121 are no longer applicable. See *In re Ziegler*, 44 F.2d 1211, 1215, 170 USPQ 129, 131-32 (CCPA 1971). See also M.E.P.. § 804.01.

2. The inventions are distinct, each from the other because of the following reasons:

Inventions II-IV are distinct from each other because they are drawn to methods of using different materials: a Paramyxoviridae virus comprising recombinant F, H, or M protein, a Paramyxoviridae virus comprising a nucleic acid encoding a cytokine, and a Paramyxoviridae virus comprising a viral surface ligand, such as a single chain antibody, or said ligand fused to a Paramyxoviridae virus surface protein, such as F or H protein. Further, they are drawn to methods that differ at least in method steps, reagents and/or dosages used, schedules used, response variables, and criteria for success. The differences between Inventions II-IV are further underscored by their different classifications and independent search status. Thus, they are patentably distinct from each other.

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Inventions I and V are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together, or they have different modes of operation, or they have different functions, or they have different effects. (M.E.P.. § 806.04, M.E.P.. § 808.01). In the instant case the different inventions have different modes of operation and have different functions. A method of monitoring a reduction in tumor size in a patient by using a replication-competent Paramyxoviridae virus having a nucleic acid encoding a heterologous polypeptide that is biologically inactive or fused to an endogenous polypeptide is different from a method of producing a recombinant Paramyxoviridae virus, and they differ at least in their objectives, method steps, reagents and/or dosages used, schedules, response variables, and criteria for success. The differences between Inventions I and V are further underscored by their different classifications and independent search status. Thus, they are patentably distinct from each other. Similarly, Inventions I, V and Inventions II-IV are distinct from each other because of the reasons as set forth above.

Because these inventions are distinct for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter and as shown by their different classification, restriction for examination purposes as indicated is proper.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

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Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a petition under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(I).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Shin-Lin Chen whose telephone number is (703) 305-1678. The examiner can normally be reached on Monday to Friday from 9 am to 5:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Deborah Clark can be reached on (703) 305-4051. The fax phone number for this group is (703) 308-4242.

Questions of formal matters can be directed to the patent analyst, Kimberly Davis, whose telephone number is (703) 305-3015.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist, whose telephone number is (703) 308-0196.

Shin-Lin Chen, Ph.D.

A handwritten signature in black ink, appearing to read 'S-L Chen', is written below the printed name.